

# Investor Awareness

Unregulated products/ platforms/ schemes

# Trading in unregulated products - CFDs/Binary Options

- Some unregulated internet-based trading platforms are offering unregulated products such as Contracts for Difference (CFDs)/ Binary Options on Currencies, International stock indices, commodities, Cryptocurrencies etc.
- These platforms are also luring investors with offers of guaranteed/ high returns and huge leverage. Investors are alerted not to fall prey to these assured / huge profits offered.
- Investors are advised to deal only with registered intermediaries.
- Investors should refrain from dealing/investment in unregulated products.

# Advisory for Investors

- Do not keep funds idle with the Stockbroker. Settle your account once in 30/90 days.
- Claims for a period longer than 90 days and claims without transactions on the exchange will not be accepted by the defaulter committee of the Exchange.
- Brokers shall accept collateral from clients in the form of securities, only by way of margin pledge. Transfer of securities allowed only for settlement of securities sold by the client.
- Always keep contact details viz. Mobile number/Email ID updated with the stockbroker for receiving communications from Stock Broker/Exchange.
- Check messages sent by Exchanges for funds and securities balances reported by the trading member and raise a concern to the exchange in case of discrepancy.
- Do not transfer funds, for the purposes of trading to anyone, including an AP or an associate of the broker, other than a SEBI registered Stock broker.