



FAQ'S on NEAT converted CTCL/Non-Neat User Ids

Version 1.0

October, 2019

Disclaimer:

"This document/FAQ has been drafted in order to ease Member's/reader's understanding of the subject matter. The information and/ or content (collectively 'Information') provided herein is general information only and NSE has issued detailed circulars to that effect from time to time, While reasonable care has been exercised to ensure that the Information is adequate and reliable, no representation is made by NSE as to its accuracy or completeness and NSE, its affiliates and subsidiaries accept no liability of whatsoever nature for any direct or consequential loss, including without limitation any loss of profits, arising from reliance on this Information. The readers are expected to undertake their own diligence and are advised not to solely rely on this document. Any such reliance shall be at the reader's own risk. Nothing stated herein shall bind NSE, in any manner whatsoever."

Background:

The trading system of the Exchange is accessed by the trading member through various modes of connectivity with a unique identification number called User id.

In order to access the trading system using NEAT front-end, trading members shall need to use NEAT user ids.

In case trading members are using customized front-end other than Exchange provided, they may use a NNF ids or Non-NEAT user id and create 12 digit to access the trading system.

Q1. What is NEAT trading front end?

The Exchange provides a NEAT (National Exchange for Automated Trading) front-end to all its trading members to enable them to trade on NSE's trading system.

Q2. Is there any alternative trading front end available to trading members other than NEAT?

Yes, in case the member wants trading front-end according to his requirements instead of using Exchange provided front end (NEAT), they can develop their own front end or they have an option to procure the same from the vendors empanelled with the Exchange.

Q3. Which are the various NNF Software approvals provided by the Exchange?

The following NNF facilities are currently available at the Exchange:

- Computer to Computer Link (CTCL)
- Internet based trading (IBT)
- Direct Market Access (DMA)
- Security trading through wireless technology facility (STWT)
- Automated /Algorithm Trading (ALGO)
- Smart order router (SOR)

Q4. How can trading members access NSE's trading system using NNF?

After relevant documentation and approval of the front-end other than provided by the exchange, members shall place request for conversion of their NEAT user ids to CTCL/NNF facility or apply for creation of Non-NEAT user/terminal through ENIT.

Q5. How can I convert NEAT user ids to CTCL facility?

The request for conversion of NEAT user ids to CTCL/NNF facility shall be submitted through ENIT. The path is as follows: Trade>User Id request>NEAT to CTCL Conversion.

Q6. Can I log in to NEAT application using the NNF user id?

Yes, you can login to NEAT application using the NNF user id. However, order placement to be done through 12 digit ID's only.

Q7. I have submitted the request NEAT to CTCL conversion today. When will the request be processed?

Valid requests complete in all respects received till 1:30 p.m. on a working day shall be processed on the same day and requests, if any thereafter, shall be processed on the next working day.

Q8. Where can I see the status of my request?

The status of request can be viewed in ENIT on the following path: Trade>User Id Request> NEAT to CTCL Report.

Q9. What are the pre-requisites of submitting a request for conversion NEAT user id to CTCL?

Member should seek NNF product approval from the exchange before submitting the request for conversion NEAT user id to CTCL.

Q10. What is a non-NEAT user/terminal?

In addition to NNF ids, Exchange offers a separate category of Non-NEAT user ids which members may create for the purposes of accessing the trading system using front end other than provided by the exchange.

Q11. How are Non-NEAT user ids different from NNF?

Unlike NNF ID's, Non-NEAT user ids:

- Cannot login through NEAT/NEAT Plus
- ID's are not user specific hence certification is not required however, certificates are required for each 12 digit ID as per the segmental certification policy of the exchange in this regards updated from time to time.

Q12. How can I apply for a new Non-NEAT user id?

The request for new Non-NEAT user id shall be submitted through ENIT. The path is as follows: Trade>User Id request>New Non Neat User id.

Q13. Can I convert CTCL id to Non-NEAT user id?

Yes, you may convert CTCL id to Non-NEAT user id by submitting a request through ENIT on the following path: Trade>User Id request>Conversion of CTCL to Non Neat User id.

Q14. I want to disable my Non-NEAT user id. Where can I submit my request?

You may submit the request through ENIT on the following path: Trade>User Id request>Disablement of Non Neat User.

Q15. If I have placed my request(s) relating to Non-NEAT user ids today, when will they be processed?

Valid requests complete in all respects received till 1:30 p.m. on a working day shall be processed on the same day and requests, if any thereafter, shall be processed on the next working day.

Q16. Where can I view the status of my requests relating to Non-NEAT user ids?

The status of request can be viewed in ENIT on the following path: Trade>User Id Request> Non NEAT Request Status (Report).

Q17. Which circular can I refer to for more details?

You may refer to circular "Consolidated Circular on matters relating to User Id request" (Reference no. NSE/MSD/34638 dated April 13, 2017).